



Prohibited Leveraged and Inverse ETFs

As of August 25, 2009

Ticker	Cusip	Leveraged ETF Name	Market
TYO	25459W557	Direxion Daily 10-Year Treasury Bear 3x Shares	US
TYD	25459W565	Direxion Daily 10-Year Treasury Bull 3x Shares	US
TMV	25459W532	Direxion Daily 30-Year Treasury Bear 3x Shares	US
TMF	25459W540	Direxion Daily 30-Year Treasury Bull 3x Shares	US
DPK	25459W797	Direxion Daily Developed Markets Bear 3X Shares	US
DZK	25459W789	Direxion Daily Developed Markets Bull 3X Shares	US
EDZ	25459W409	Direxion Daily Emerging Markets Bear 3X Shares	US
EDC	25459W300	Direxion Daily Emerging Markets Bull 3X Shares	US
MWN	25459W524	Direxion Daily Mid Cap Bear 3X Shares	US
MWJ	25459W730	Direxion Daily Mid Cap Bull 3x Shares	US
TYP	25459W201	Direxion Daily Technology Bear 3X Shares	US
TYH	25459W102	Direxion Daily Technology Bull 3X Shares	US
ERY	25459W870	Direxion Energy Bear 3X	US
ERX	25459W888	Direxion Energy Bull 3X	US
FAZ	25459W607	Direxion FinancI Bear 3X	US
FAS	25459W508	Direxion FinancI Bull 3X	US
BGZ	25459W854	Direxion Large Cp Bear 3X	US
BGU	25459W862	Direxion Large Cp Bull 3X	US
TZA	25459W839	Direxion Small Cp Bear 3X	US
TNA	25459W847	Direxion Small Cp Bull 3X	US
DMM	55610X103	MacroShares Major Metro Housing Down Trust	US
UMM	55610R106	MacroShares Major Metro Housing Up Trust	US
URR	617480272	Market Vectors 2xLgEu ETN	US
DRR	617480280	Market Vectors 2xShEu ETN	US
DAG	25154H558	PowerSh DB AgricDble Long	US
AGA	25154H566	PowerSh DB AgricDble Shrt	US
BOM	25154K858	PowerSh DB BsMtIs DbleShr	US
DYY	25154H475	PowerSh DB Com Dbl Long	US
DEE	25154H483	PowerSh DB Com Dbl Shrt	US
DXO	25154K882	PowerSh DB CrOil Dble Lng	US
DTO	25154K809	PowerSh DB CrOil DbleShrt	US
DGP	25154H749	PowerSh DB Gold Dble Long	US
DZZ	25154H756	PowerSh DB Gold Dble Shrt	US
BDD	25154K841	PowerShares DB Base Metals Double Long ETN (BDD)	US
UYM	74347R776	ProShares Ultra Basic Materials ETF (UYM)	US
UCO	74347W502	ProShares Ultra DJ-AIG Crude Oil	US
ULE	74347W874	ProShares Ultra Euro ETF (ULE)	US
UWC	74347X104	ProShares Ultra Russell3000	US

YCL	74347W866	ProShares Ultra Yen ETF (YCL)	US
UPRO	74347X864	ProShares UltraPro S&P500	US
SPXU	74347X856	ProShares UltraPro Short S&P500	US
CMD	74347W205	ProShares UltraShort DJ-AIG Commodity ETF (CMD)	US
BZQ	74347X401	ProShares UltraShort MSCI Brazil	US
EPV	74347X807	ProShares UltraShort MSCI Europe	US
SMK	74347X872	ProShares UltraShort MSCI Mexico	US
JPX	74347X609	ProShares UltraShort MSCI Pacific ex-Japan	US
TWQ	74347X204	ProShares UltraShort Russell3000	US
RRY	78355W726	Rydex 2x Russell 2000	US
RSU	78355W783	Rydex 2x S&P 500	US
RMM	78355W759	Rydex 2x S&P MidCap 400	US
RFL	78355W668	Rydex 2X S&P Select Financial	US
REA	78355W684	Rydex 2X S&P Select Sector Energy	US
RHM	78355W627	Rydex 2x S&P Select Sector Health Care ETF (RHM)	US
RTG	78355W643	Rydex 2X S&P Select Technology	US
RRZ	78355W692	Rydex Inv 2x Russell 2000	US
RSW	78355W767	Rydex Inv 2x S&P 500	US
RMS	78355W734	Rydex Inverse 2x S&P MidCap 400 ETF (RMS)	US
REC	78355W676	Rydex Inverse 2X S&P Select Energy	US
RFN	78355W650	Rydex Inverse 2X S&P Select Financial	US
RHO	78355W619	Rydex Inverse 2x S&P Select Sector Health Care ETF (RHO)	US
RTW	78355W635	Rydex Inverse 2x S&P Select Sector Technology ETF (RTW)	US
UGE	74347R768	Ultra Cons Goods ProShar	US
UCC	74347R750	Ultra Cons Services ProSh	US
UCD	74347W106	Ultra DJ-AIG Commodity Pr	US
UCO	74347W502	Ultra DJ-AIG Crude Oil Pr	US
DDM	74347R305	Ultra Dow30 ProShares	US
UYG	74347R743	Ultra Financials ProShar	US
XPP	74347X880	Ultra FTSE/Xinhua China 25	US
UGL	74347W601	Ultra Gold ProShares	US
RXL	74347R735	Ultra Health Care ProShr	US
UXI	74347R727	Ultra Industrials ProShr	US
MVV	74347R404	Ultra MidCap400 ProShares	US
EFO	74347X500	Ultra MSCI EAFE	US
EET	74347X302	Ultra MSCI Emerging Markets	US
EZJ	74347X708	Ultra MSCI Japan	US
DIG	74347R719	Ultra Oil & Gas ProShares	US
QLD	74347R206	Ultra QQQ ProShares	US
URE	74347R677	Ultra Real Estate ProShar	US
UKW	74347R511	Ultra Russell Mid Gr ProS	US
UVU	74347R495	Ultra Russell Mid Val Pro	US
UKF	74347R537	Ultra Russell1000 Gr ProS	US
UVG	74347R529	Ultra Russell1000 Val Pro	US
UKK	74347R487	Ultra Russell2000 Gr Pro	US
UWM	74347R842	Ultra Russell2000 ProShrs	US
UVT	74347R479	Ultra Russell2000 Val Pro	US
SAA	74347R818	Ultra S&P SmCap600 ProShr	US
SSO	74347R107	Ultra S&P500 ProShares	US

USD	74347R669	Ultra Semiconductor ProSh	US
AGQ	74347W841	Ultra Silver ProShares	US
ROM	74347R693	Ultra Technology ProShar	US
LTL	74347R263	Ultra Telecom ProShares	US
UPW	74347R685	Ultra Utilities ProShares	US
TLL	74347R255	UltraSh Telecom ProShares	US
TBT	74347R297	UltraShort 20+ Yr Trs Pro	US
PST	74347R313	UltraShort 7-10 Yr Trs Pr	US
FXP	74347R321	UltraShort FTSE/Xinhua 25	US
GLL	74347W700	UltraShort Gold ProShares	US
EWV	74347R347	UltraShort MSCI Jpn Pro	US
ZSL	74347W833	UltraShort Silver ProShrs	US
SMN	74347R651	UltShort Basic Mat ProSh	US
SZK	74347R644	UltShort Cons Goods ProSh	US
SCC	74347R636	UltShort Cons Svcs ProSh	US
SCO	74347W809	UltShort DJ-AIG Crude O	US
DXD	74347R867	UltShort Dow30 ProShares	US
EUO	74347W882	UltShort Euro ProShares	US
SKF	74347R628	UltShort Financials ProSh	US
RXD	74347R610	UltShort Hlth Care ProSh	US
SIJ	74347R594	UltShort Industrial ProSh	US
MZZ	74347R859	UltShort Mid400 ProShares	US
EEV	74347R354	UltShort MSCI EmMkts ProS	US
DUG	74347R586	UltShort Oil & Gas ProSh	US
QID	74347R875	UltShort QQQ ProShares	US
SRS	74347R552	UltShort Real Estat ProSh	US
SDK	74347R446	UltShort Russell Mid Gr	US
SJL	74347R438	UltShort Russell Mid Val	US
SFK	74347R461	UltShort Russell1000 Gr	US
SJF	74347R453	UltShort Russell1000 Val	US
SKK	74347R420	UltShort Russell2000 Gr	US
TWM	74347R834	UltShort Russell2000 ProS	US
SJH	74347R412	UltShort Russell2000 Val	US
SDS	74347R883	UltShort S&P500 ProShares	US
SSG	74347R545	UltShort Semicon ProShar	US
SDD	74347R792	UltShort SmCap600 ProShrs	US
REW	74347R578	UltShort Tech ProShares	US
SDP	74347R560	UltShort Utilities ProSh	US
YCS	74347W858	UltShort Yen ProShares	US
EFU	74347R339	UltShrt MSCI EAFE ProShar	US
LAGR-LSE		ETFS Leveraged Agriculture ETF (LAGR-LSE)	London
LALL-LSE		ETFS Leveraged All Commodities ETF (LALL-LSE)	London
LALU-LSE		ETFS Leveraged Aluminium ETF (LALU-LSE)	London
LCOC-LSE		ETFS Leveraged Cocoa ETF (LCOC-LSE)	London
LCFE-LSE		ETFS Leveraged Coffee ETF (LCFE-LSE)	London
LCOP-LSE		ETFS Leveraged Copper ETF (LCOP-LSE)	London
LCOR-LSE		ETFS Leveraged Corn ETF (LCOR-LSE)	London
LCTO-LSE		ETFS Leveraged Cotton ETF (LCTO-LSE)	London
LOIL-LSE		ETFS Leveraged Crude Oil ETF (LOIL-LSE)	London

LNRG-LSE		ETFS Leveraged Energy ETF (LNRG-LSE)	London
LNEY-LSE		ETFS Leveraged Ex-Energy ETF (LNEY-LSE)	London
LGAS-LSE		ETFS Leveraged Gasoline ETF (LGAS-LSE)	London
LBUL-LSE		ETFS Leveraged Gold ETF (LBUL-LSE)	London
LGRA-LSE		ETFS Leveraged Grains ETF (LGRA-LSE)	London
LHEA-LSE		ETFS Leveraged Heating Oil ETF (LHEA-LSE)	London
LIME-LSE		ETFS Leveraged Industrial Metals ETF (LIME-LSE)	London
LLEA-LSE		ETFS Leveraged Lead ETF (LLEA-LSE)	London
LLHO-LSE		ETFS Leveraged Lean Hogs ETF (LLHO-LSE)	London
LLCT-LSE		ETFS Leveraged Live Cattle ETF (LLCT-LSE)	London
LLST-LSE		ETFS Leveraged Livestock ETF (LLST-LSE)	London
LNGA-LSE		ETFS Leveraged Natural Gas ETF (LNGA-LSE)	London
LNK-LSE		ETFS Leveraged Nickel ETF (LNK-LSE)	London
LPET-LSE		ETFS Leveraged Petroleum ETF (LPET-LSE)	London
LPLA-LSE		ETFS Leveraged Platinum ETF (LPLA-LSE)	London
LPMT-LSE		ETFS Leveraged Precious Metals ETF (LPMT-LSE)	London
LSIL-LSE		ETFS Leveraged Silver ETF (LSIL-LSE)	London
LSFT-LSE		ETFS Leveraged Softs ETF (LSFT-LSE)	London
LSYO-LSE		ETFS Leveraged Soybean Oil ETF (LSYO-LSE)	London
LSOB-LSE		ETFS Leveraged Soybeans ETF (LSOB-LSE)	London
LSUG-LSE		ETFS Leveraged Sugar ETF (LSUG-LSE)	London
LTIM-LSE		ETFS Leveraged Tin ETF (LTIM-LSE)	London
LWEA-LSE		ETFS Leveraged Wheat ETF (LWEA-LSE)	London
LZIC-LSE		ETFS Leveraged Zinc ETF (LZIC-LSE)	London
HBD-TSX	440446102	Horizons BetaPro COMEX Gold Bullion Bear Plus ETF (HBD-TSX)	Canadian
HBCGF	440444107	Horizons BetaPro COMEX Gold Bullion Bull Plus ETF (HBU-TSX)	Canadian
HBTPF	44045B100	Horizons BetaPro NYMEX Crude Oil Bear Plus ETF (HOD-TSX)	Canadian
HZOZF	440447209	Horizons BetaPro NYMEX Crude Oil Bull Plus ETF (HOU-TSX)	Canadian
HBNNF	44045G109	Horizons BetaPro NYMEX Natural Gas Bear Plus ETF (HND-TSX)	Canadian
HZBBF	44045F200	Horizons BetaPro NYMEX Natural Gas Bull Plus ETF (HNU-TSX)	Canadian
HXD-TSX	44045E104	Horizons BetaPro S&P/TSX 60 Bear ETF (HXD-TSX)	Canadian
HZBPF	44045D106	Horizons BetaPro S&P/TSX 60 Bull ETF (HXU-TSX)	Canadian
HED-TSX	440453108	Horizons BetaPro S&P/TSX Capped Energy Bear Plus ETF (HED-TSX)	Canadian
HZBRF	44045X102	Horizons BetaPro S&P/TSX Capped Energy Bull Plus ETF (HEU-TSX)	Canadian
HFD-TSX	440457109	Horizons BetaPro S&P/TSX Capped Financials Bear Plus ETF (HFD-TSX)	Canadian
HFU-TSX	440449106	Horizons BetaPro S&P/TSX Capped Financials Bull Plus ETF (HFU-TSX)	Canadian
HZRZF	44045V205	Horizons BetaPro S&P/TSX Global Gold Bear Plus ETF (HGD-TSX)	Canadian
HZNSF	44045W104	Horizons BetaPro S&P/TSX Global Gold Bull Plus ETF (HGU-TSX)	Canadian
HMD-TSX	440451102	Horizons BetaPro S&P/TSX Global Mining Bear Plus ETF (HMD-TSX)	Canadian
HMU-TSX	440450203	Horizons BetaPro S&P/TSX Global Mining Bull Plus ETF (HMU-TSX)	Canadian

Ticker	Cusip	Inverse ETF Name	Market
ADZ	25154H541	DB AGRICULTURE SHORT ETN	US
DDP	25154H467	DB COMMODITY SHORT ETN	US
DGZ	25154H731	DB GOLD SHORT ETN ETF	US
PTD	902641729	E-TRACS UBS Short Platinum	US
BOS	25154K833	POWERSHARES DB BASE METALS SHORT ETN ETF	US
SZO	25154K874	POWERSHARES DB CRUDE OIL SHORT ETN ETF	US

TBF	74347X849	ProShares Short 20+ Year Treasury	US
DOG	74347R701	Short Dow30 ProShares	US
SEF	74347R230	Short Financials ProShare	US
MYV	74347R800	Short MidCap400 ProShares	US
EFZ	74347R370	Short MSCI EAFE ProShares	US
EUM	74347R396	Short MSCI EmMkts ProShar	US
DDG	74347R222	Short Oil & Gas ProShares	US
PSQ	74347R602	Short QQQ ProShares	US
RWM	74347R826	Short Russell2000 ProShrs	US
SBB	74347R784	Short S&P SmCap600 ProShr	US
SH	74347R503	Short S&P500 ProShares	US

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